DATE: April 2, 2024

DEPARTMENT OF GENERAL SERVICES BUREAU OF CAPITAL PROJECT DESIGN MANAGEMENT 1800 HERR STREET HARRISBURG, PENNSYLVANIA

ADDENDUM NO. 1

on

PROJECT NO. DGS C-0948-0098 PHASE 001 PROJECT TITLE - Capitol Complex - Automation System Upgrades PROFESSIONAL:

Greenman-Pedersen, Inc. 52 Glenmaura National Blvd, Scranton, PA, 18505

If you submitted a bid prior to this Addendum being issued, your bid has been discarded and <u>you</u> must re-submit your bid(s) prior to the bid opening date and time.

ADMINISTRATIVE CHANGES – ALL CONTRACTS

Item 1 - The Pre-Bid Conference and site visit held Monday, April 1, 1:00 PM at Keystone Building Meeting Center (KBMC) Meadow Room Plaza Level Comm Ave 400 North Street, Harrisburg, PA 17120 included a presentation by Audrey Smith, Outreach Manager for the Bureau of Diversity, Inclusion and Small Business Opportunities as it related to all contracts (1.2, 1.3, and 1.4) and SDB/VBE goal setting. A PDF (DGS C-0948-0098 Phase 1 Capitol Complex - Automation System Upgrades BDISBO SDB VBE presentation.pdf) of the presentation has been added to the 45 Bidding \01 Bid Documents \03 Addendums folder in eBuilder and is attached to this addendum. All Bidders are encouraged to review the attached information. Please contact Audrey Smith (717) 346 - 8105, audresmith@pa.gov, with questions.

SPECIFICATION CHANGES – ALL CONTRACTS

Item 1 -

DRAWING CHANGES – ALL CONTRACTS

Item 1 -

Pre-Bid Meeting
BDISBO Presentation

DGS C-0948-0098 Phase 1 Capitol Complex - Automation System Upgrades

Issuing Officer

Richard Lindemon - rlindemon@pa.gov

John Murphy - johnmurphy@gpinet.com

Susan Stanisic sstanisic@pa.gov

April 1, 2024 1PM



What's the Point?



Diversity, Equity, and Inclusion RE-IMAGINING THE CULTURE OF YOUR WORKPLACE



Diversity Defined

- Diversity is...
 - everything that makes us unique,
 - our cognitive skills and personality traits, and
 - also the things that shape our identity (e.g. race, age, gender, religion, sexual orientation, cultural background), etc.



Diversity is the Mix Inclusion is making the mix work. ~Andres Tapia

Baking a cake

Each ingredient has its own distinct taste and quality...



Baking a cake

Each ingredient adds *value* to the recipe...



Baking a cake

Recognizing the individuality and the value of *every* person is essential to understanding the concept of diversity.

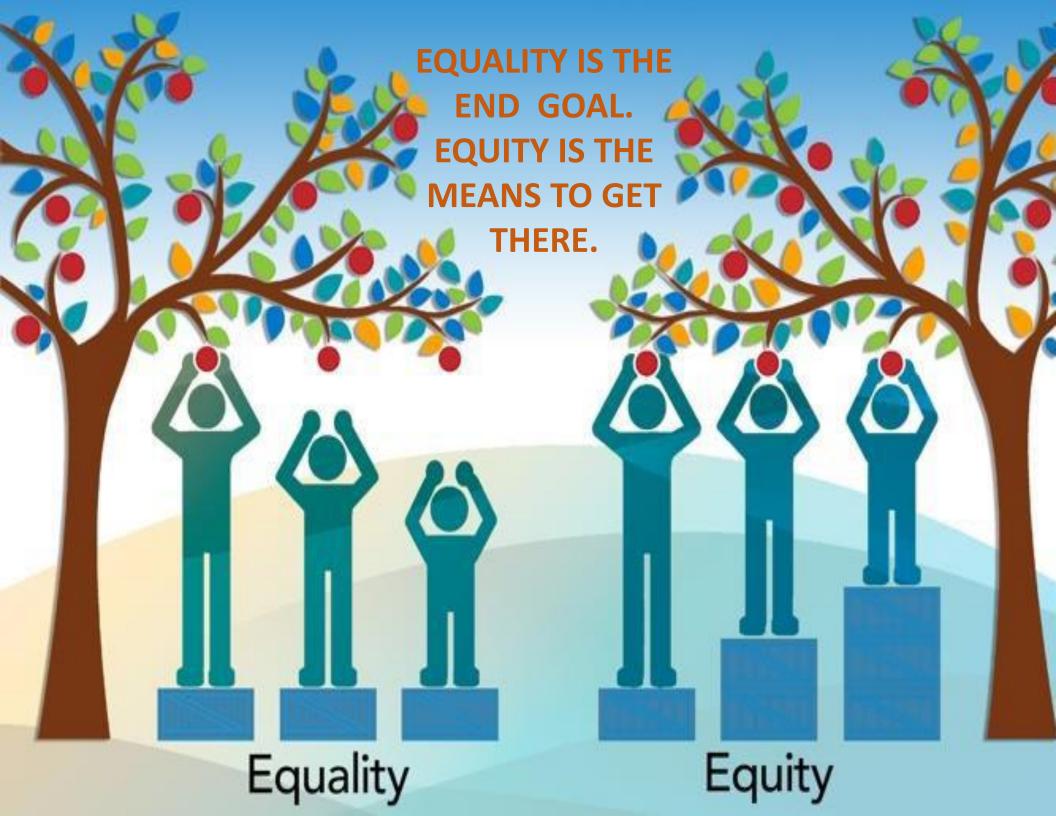




Equity Defined

Equity is the fair treatment, **access**, **opportunity**, and advancement for **all** people, while at the same time striving to identify and **eliminate barriers** that have prevented the full participation of *small businesses*.





Inclusion Defined

Inclusion is about:

- Small Businesses as prime contractors
- Primes subcontracting with SDB,VBE vendors
- Engaging with vendors representative of the community



Diversity, Equity, and Inclusion

Diversity

Having a seat at the table

Equity

Entering the conversation without barriers

Inclusion

Being heard and having a voice at the table



Small Diverse Business Enterprise (SDB) and Veteran Business Enterprise (VBE) Bid References

Instructions to Bidders

- Section 5 Submission/Signing of Bids
- Section 30 Small Diverse Business and Veteran Business Participation Information

Administrative Procedures

• No. 13 – Small Diverse Business and Veteran Business Enterprise Participation

Attachments

- Construction_RFP_IFB_SDB_Participation_Form_2024.01.26.pdf
- Construction_RFP_IFB_VBE_Participation_Form_2024.01.26.pdf

A Bidder/Offeror's failure to meet the SDB participation goal in full and the VBE participation goal in full, or their failure to receive an approved Good Faith Efforts waiver for any unmet portion of either the SDB or VBE participation goal will result in the rejection of the Bid or Proposal as nonresponsive.



Solicitation Specific Goals

PA - eMarketplace (state.pa.us)

DGS-C0948-0098 Phase 1	SDB	VBE
2 HVAC Construction – ALL BASE BIDS (#1, #2, #3 & #4)	11%	3%
.3 Plumbing Construction – ALL BASE BIDS (#1, #2, #3 & #4)	N/A	N/A
.4 Electrical Construction ALL BASE BIDS (#1, #2, #3 & #4)	N/A	N/A

- Available subcontracting opportunities across the entire state for the applicable services,
- Availability of DGS-verified SDB/VBEs to perform commercially useful functions, and
- Historical analysis of similar projects within the last 3 years.

Primes are welcome to exceed the goal!





SDB and VBE Classification

Vendors must self-certify as a Small Business (SB) prior to SDB/VBE validation.

SB Eligibility Requirements

- The business must be a for-profit, United States business.
- •The business must be independently owned.
- •The business may not be dominant in its field of operation.
- •The business may not employ more than 100 full-time equivalent employees.
- •The business may not exceed threeyear average gross revenues of \$47 Million, regardless of business type (effective 11/1/2018).

Small Diverse Business (SDB)

Goal oriented

- Woman Business Enterprise (WBE)
- Service-Disabled Veteran Business Enterprise (SDVBE)
- Minority Business Enterprise (MBE)
- LGBT Business Enterprise (LGBTBE)
- Disability-Owned Business Enterprise (DOBE)

Veteran Business Enterprise (VBE)

Goal oriented

- Veteran Business Enterprise (VBE)
- Service-Disabled Veteran Business Enterprise (SDVBE)

SDBs and VBEs must be certified/valid as of bid close due date and time.

<u>Commonwealth Phone Directory | Department of</u> General Services | Commonwealth of Pennsylvania

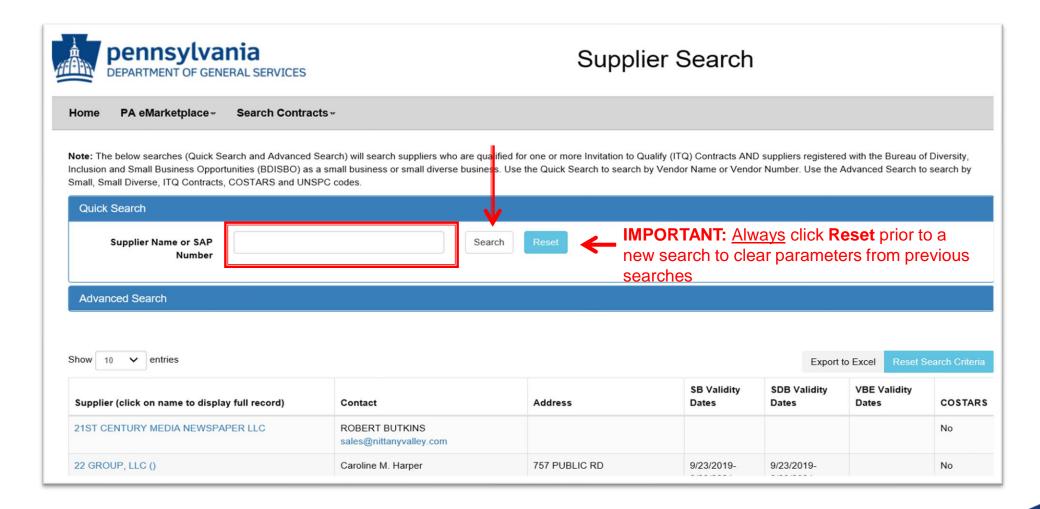


Finding SDB/VBE vendors

- http://www.dgs.internet.state.pa.us/suppliersearch
- Access Search Guide - <u>https://www.dgs.pa.gov/Small%20Diverse%20Business</u> <u>%20Program/Documents/Finding%20SBs%20and%20SDs.pdf</u>
- Supplier Search assistance available from Issuing Officer or Bureau of Diversity Procurement Liaison



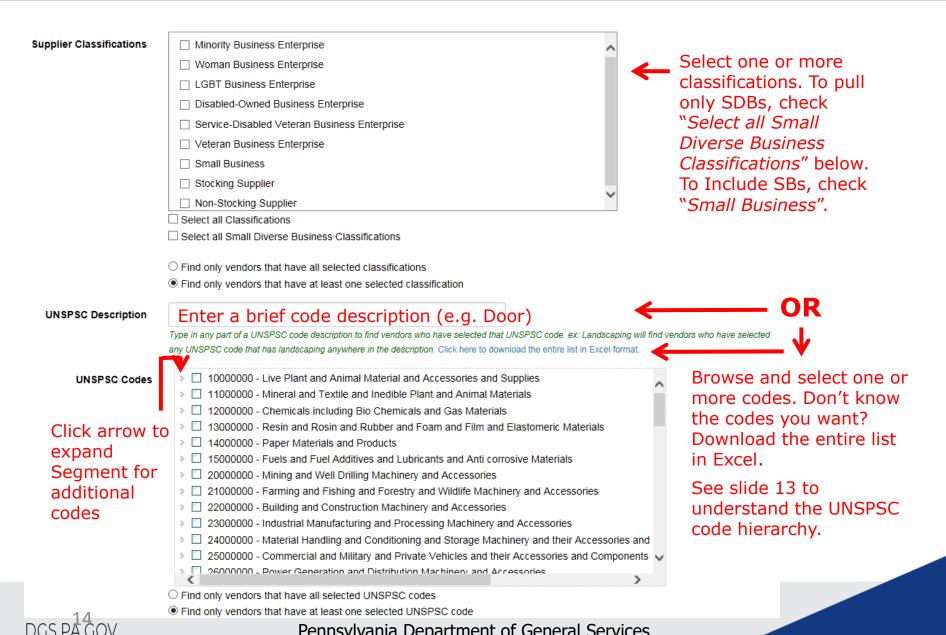
Quick Search



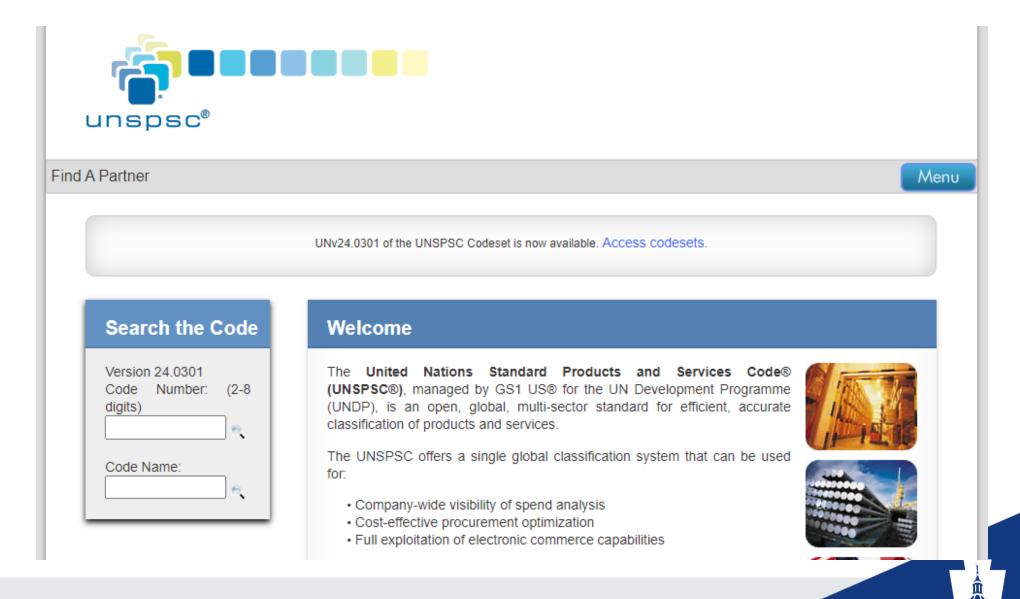
http://www.dgs.internet.state.pa.us/suppliersearch



Advanced Search



UNSPSC Commodity Code Search



UNSPSC Commodity Code Search



Write down your code selections

HOME FAQS	SUBSCRIBE	LIBRARY	CODESET-DOWNLOADS	INITIATIVES	EDUCATION	FIND A PARTNER	
-----------	-----------	---------	-------------------	-------------	-----------	----------------	--

Version 24.0301

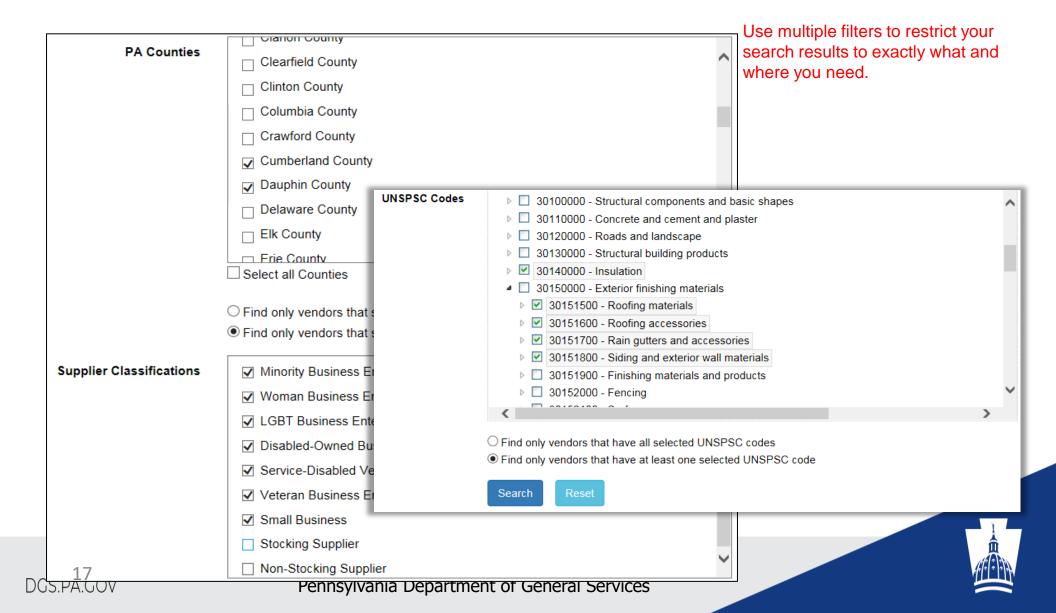
Search Code
Search Title painting

Search

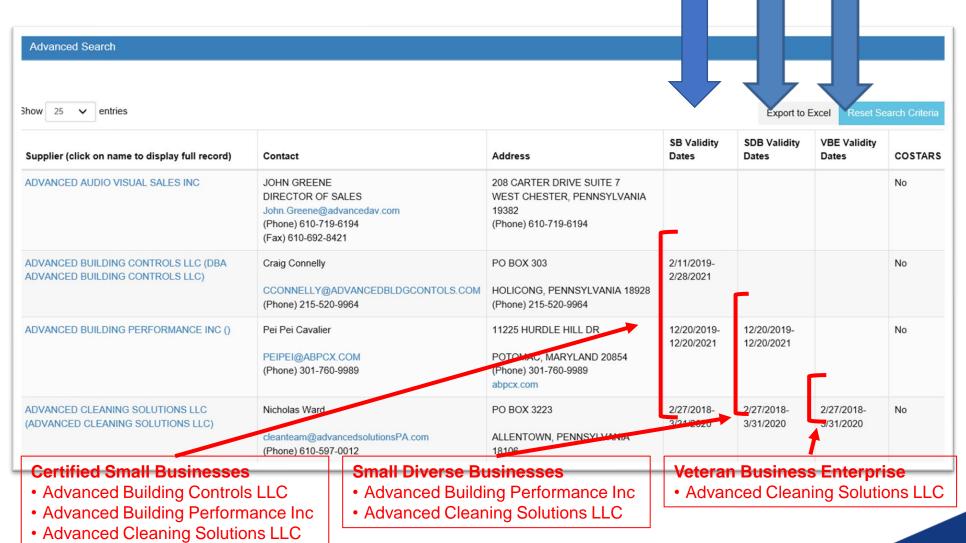
Code	Title
31211900	Paint applicators and painting accessories
60121001	Paintings
60121225	Watercolor painting mediums
60124101	Multicultural painting products
72151300	Painting and paper hanging services
72151301	Residential painting service
72151302	Commercial painting service
72151303	Industrial painting service
72151304	Aircraft painting service
72151305	Bridge painting service
72151307	Ship painting service
73181104	Painting services
78181501	Vehicle body repair or painting service
78181836	Aircraft fixed wing coating and painting service
26121502	Painting



Advanced Search

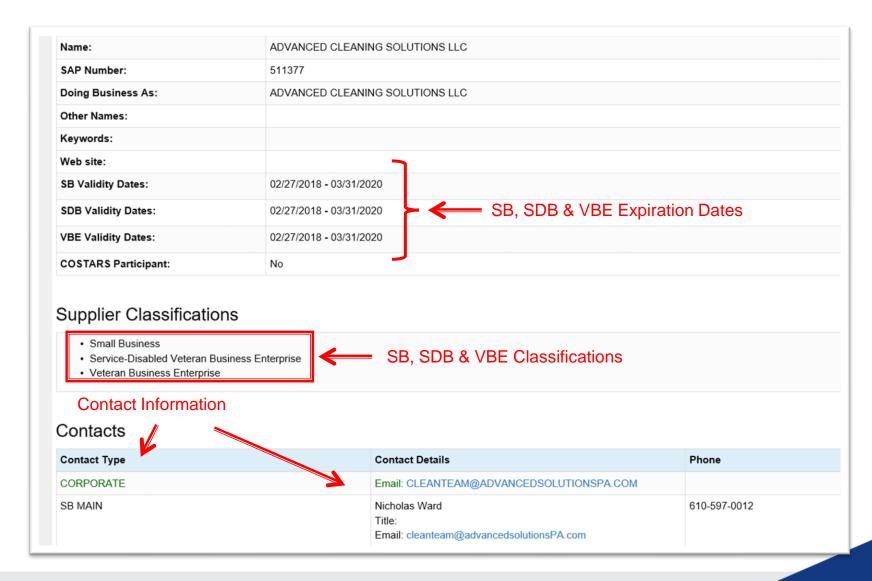


Search Results



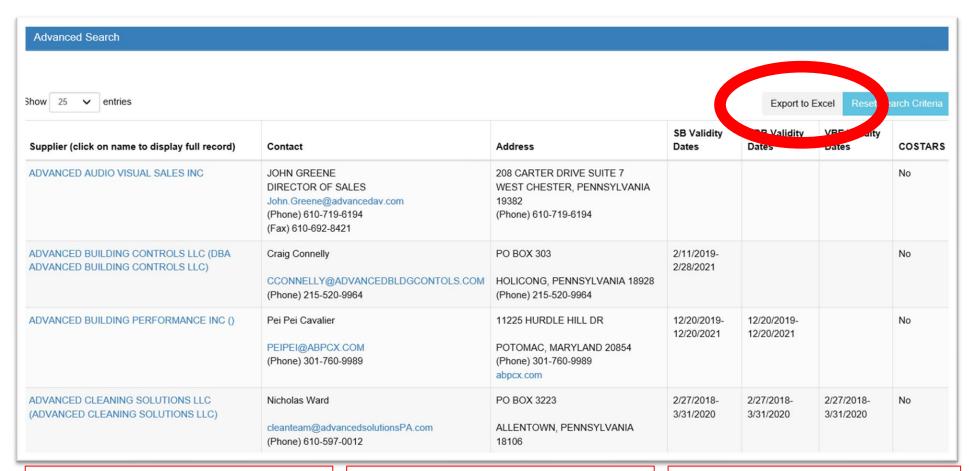


Supplier Profile





Search Results



Certified Small Businesses

- Advanced Building Controls LLC
- Advanced Building Performance Inc
- Advanced Cleaning Solutions LLC

Small Diverse Businesses

- Advanced Building Performance Inc
- Advanced Cleaning Solutions LLC

Veteran Business Enterprise

Advanced Cleaning Solutions LLC





Excel Export Results – Supplier Contacts

Excel Export Results includes Vendor ID, Supplier Name, Contact Name, Email, Phone, Fax

	Α	В	С	D	Е	F	U	Н	1	J	K
1 S	AP Number	Supplier Name	Contact Name	Name	Title	Email	PhoneAreaCode	PhoneNumber	PhoneEx	FaxAreaCo	FaxNumber
2 1	.04279	CA WEISS SALES LLC	CORPORATE			caweiss@comcast.net					
3 1	.04279	CA WEISS SALES LLC	SB MAIN	KRISTY ZARICHNIAK		kristyz@comcast.net	610	4588864			4588875
4 1	19565	DUFF SUPPLY COMPANY	CORPORATE	ALEX DUFFINE	VP	DFRANK@DUFFCOMPANY.COM	610	2754453	147	610	2796299
5 1	19565	DUFF SUPPLY COMPANY	REMITTO	BARB COHEN		bcohen@duffco.com	610	2754453	149	610	2756761
6 1	19565	DUFF SUPPLY COMPANY	SB MAIN	ALEX DUFFINE		aduffine@duffco.com	610	2754453			
7 1	19565	DUFF SUPPLY COMPANY	SB SECONDARY			info@duffco.com					
8 1	.22594	HOUCK SERVICES INC	CORPORATE			jherrold@houcks.com					
9 1	.22594	HOUCK SERVICES INC	SB MAIN	JARROD HERROLD		jherrold@houcks.com	717	6573302		717	6579805
10 1	.22594	HOUCK SERVICES INC	SB SECONDARY			kgussler@houcks.com					
11 1	34717	PENN STATE ELECTRIC MECHANICAL	CORPORATE			razmataz33@aol.com					
12 1	34717	PENN STATE ELECTRIC MECHANICAL	SB MAIN	RAZ SUGARWALA		razmataz33@aol.com	717	2992090		717	2992297
13 1	.34717	PENN STATE ELECTRIC MECHANICAL	SB SECONDARY			ksing6027@yahoo.com					
14 1	35270	BARBARA J SALES ASSOC INC	CORPORATE			barb@barbarajsles.com					
15 1	35270	BARBARA J SALES ASSOC INC	SB MAIN	BARBARA SMITH		barb@barbarajsales.com	412	5233398		800	8137122
16 1	35270	BARBARA J SALES ASSOC INC	SB SECONDARY			willsmith@willjservices.com					
17 1	37893	IDA YEAGER SALES INC	CORPORATE			idayeagersales@zoominternet.net					
18 1	37893	IDA YEAGER SALES INC	SB MAIN	IDA LAQUATRAYEAGER		idayeagersales@zoominternet.net	724	4525260		724	4521072
19 1	44061	CONSTRUCTION TOOL SERVICE INC	CORPORATE			ehuss@constructiontoolservice.com					
20 1	44061	CONSTRUCTION TOOL SERVICE INC	SB MAIN	BETTY CONNELLY		bconnelly@constructiontoolservice.com	412	6816673		412	6819185
21 1	44061	CONSTRUCTION TOOL SERVICE INC	SB SECONDARY			bcgoodwork@aol.com					
22 1	45576	BURKE & MICHAEL INC	CORPORATE			MARYFRANCES@BURKEANDMICHAEL.COM					
23 1	45576	BURKE & MICHAEL INC	SB MAIN	MARY FRANCES HOGAN		maryfrances@burkeandmichael.com	412	3212301		412	3214582
24 1	53927	COOPER TRADING INC	CORPORATE			cti@ctipa.com					
25 1	53927	COOPER TRADING INC	SB MAIN	PETER COOPER		pete@ctipa.com	724	8618830		724	8618832
26 1	53927	COOPER TRADING INC	SB SECONDARY			debbie@ctipa.com					
27 1	.57009	CONTRACT HARDWARE AND SUPPLY	CORPORATE			cristil@chsupplyinc.com					
28 1	.57009	CONTRACT HARDWARE AND SUPPLY	SB MAIN	BRAD BOTTEICHER		bradb@chsupplyinc.com	814	9412340		814	9412342

Supplier Classifications

ITQs

ITQ Contracts

UNSPSC Codes

Suppliers

Supplier Addresses

Counties

Supplier Contacts

Upcoming Supplier Search Training

The training will focus on the basics of the supplier search process including recognizing the UNSPSC Codes Structure, accessing the DGS Supplier Search Database and Searching for Small, Small Diverse and Small Veteran Owned Businesses to gain a better understanding of supplier search results.

The 30-minute sessions will be offered via Teams on-line presentations on the following dates in 2024: (No RSVP) Required)

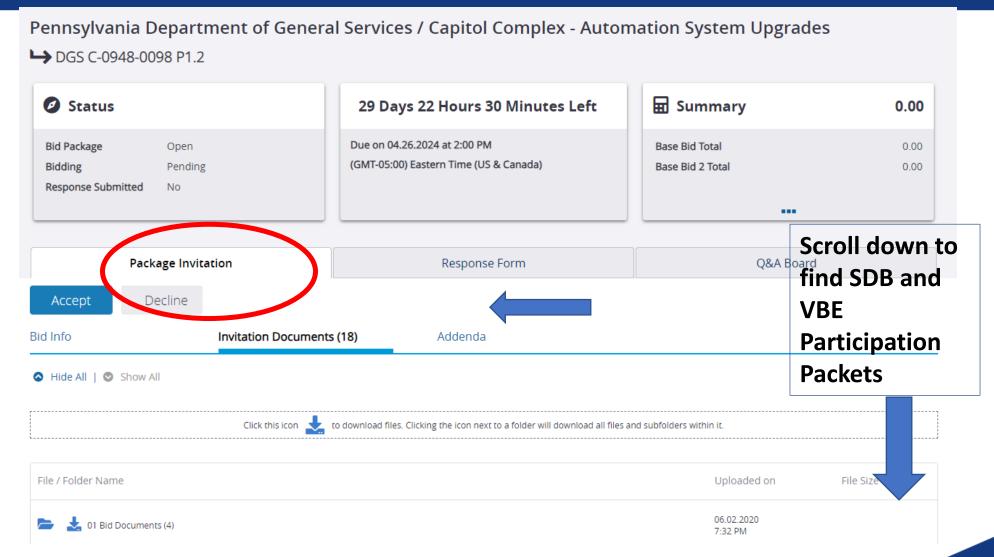
Targeted Audience (External): Potential prime contractors that conduct business with the commonwealth who want to learn the basics of finding DGS certified small diverse and veteran owned businesses.

- 10AM April 17 (Wed)
- 10AM May 1 (Wed)
- 10AM May 22 (Wed)
- 10AM June 5 (Wed)
- 10AM June 20 (Thu)
- 10AM July 3 (Wed) 10AM July 17 (Wed)
- 10AM July 31 (Wed)
- 10AM Aug 14 (Wed)
- 10AM Aug 28 (Wed)
- 10AM Sep 4 (Wed)
- 10AM Sep 18 (Wed)
- 10AM Oct 16 (Wed)
- 10AM Oct 30 (Wed)
- 10AM Nov 13 (Wed)
- 10AM Nov 27 (Wed)
- 10AM Dec 11 (Wed)

BDISBO Events Page



SDB/VBE Forms in e-Builder





New Forms and Processes

- SDB/VBE Instructions SDB-1/VBE-1 READ
- SDB/VBE Participation Submittal *SDB-2/VBE-2*
- SDB/VBE Utilization Schedule SDB-3/VBE-3
- Letter of Commitment SDB 3.1/VBE-3.1
- Guidance for Good Faith Effort (GFE) Waiver SDB-4/VBE-4 <u>READ</u>
- GFE Waiver SDB-5/VBE-5

Bid packages available in e-Builder in the Package Invitation/Bid Info in the Invitation Documents folder



Solicitation-Specific Goals

SDB-1 INSTRUCTIONS FOR COMPLETING THE SMALL DIVERSE BUSINESS (SDB) PARTICIPATION SUBMITTAL AND SDB UTILIZATION SCHEDULE.

PLEASE READ BEFORE COMPLETING THESE DOCUMENTS
Bidders/Offerors do not need to return SDB-1 with their SDB Participation Submittal

The following instructions include details for completing the SDB Participation Submittal (SDB-2) which Bidders or Offerors must submit in order to be considered responsive.

The following instructions also include details for completing the SDB Utilization Schedule (SDB-3), which Bidders or Offerors must submit for any portion of the SDB participation goal the Bidder or Offeror commits to meeting.

A Bidder/Offeror's failure to meet the SDB participation goal in full or their failure to receive an approved Good Faith Efforts waiver for any unmet portion of the SDB participation goal will result in the rejection of the Bid or Proposal as nonresponsive.

I. SDB Participation Goal: The SDB participation goal is set forth in the Solicitation. The Bidder/Offeror is encouraged to use a diverse group of subcontractors and suppliers from the SDB classifications to meet the SDB participation goal.

II. SDB Eligibility:

 Finding SDB firms: Offerors can access the directory of <u>DGS-verified</u> SDB firms from the DGS Supplier Search directory at: http://www.dgs.internet.state.pa.us/suppliersearch.

Only SDBs verified by DGS and as defined herein may be counted for purposes of achieving the SDB participation goal. In order to be counted for purposes of achieving the SDB participation goal, the SDB firm, including an SDB prime, <u>must be DGS</u>-verified for the services, materials or supplies that it has committed to perform.

a. SDB prime bidders or offerors. An SDB prime firm whose SDB verification is pending or incomplete as of the bid or proposal due date and time may not satisfy the SDB participation goal through its own performance. A self-certified SB prime that does Pennsylvania Department of General Services



SDB Submittal – SDB-2

CRITICAL

Check One, and

Only One, Box

SDB-2 SDB PARTICIPATION SUBMITTAL

Bidder/Offeror:	Solicitation # :				
Solicitation Title:					
	ntify the corresponding Base Bid for this S				
REJECTION OF YOU	NLY ONE, BOX. FAILURE TO COMP R RID/PROPOSAL.	PLY WILL RESULT IN			
Click on bold titles to nav	igate to that specific page.				
I agree to meet	I am requesting a partial	I am requesting a full			
participation goal in full. I have completed and am submitting with my bid or proposal an SDB Utilization Schedule (SDB- 3), which is required in order to be considered for award.	participation goal. After making good faith outreach efforts as more fully described in the Guidance for Documenting Good Faith Efforts to Meet the SDB Participation Goal, I am unable to achieve the total SDB participation goal for this solicitation and am requesting a partial waiver of the SDB participation goal. I have completed and am submitting with my bid or proposal both of the following, which are required in order to be considered for award: 1. an SDB Utilization Schedule (SDB-3) for that portion of the SDB participation goal	After making good faith outreach efforts as more fully described in the Guidance for Documenting Good Faith Efforts to Meet the SDB Participation Goal, I am unable to achieve any part of the SDB participation goal for this solicitation and am requesting a full waiver of the SDB participation goal. I have completed and am submitting with my bid or proposal a Good Faith Efforts Waiver Request for the complete SDB			



SDB Utilization Schedule — SDB-3

CRITICAL SDB/VBE Verify SDB/VBE Validity

SDB-3 SDB UTILIZATION SCHEDULE

be used to meet the SDB participation goal (add additional pages if necessary). Submit a Letter of Commitment (SDB-3.1) for each SDB subcontractor, supplier, or manufacturer (add additional Letters of Commitment as necessary).				
SDB Name SAP Vendor Number (6-digit number provided by SDB) SDB Verification Number (located on DGS SDB verification)	Type of SDB (check all that apply)	Description of Work to be Performed (Statement of Work/Specification reference)	% Commitment (or % of work to be self-performed by SDB bidder/offeror)	Dollar Value of Commitment (after applying any calculation per SDB-1, Section IV, Calculating SDB participation)
Name: <u>ABC IT Solutions</u> SAP Vendor Number: <u>123456</u> SDB Verification Number: <u>123456-2023-09-SB-M</u>	MBE	IT staffing resources	%	s
Name:	MBE WBE			
SAP Vendor Number:	LGBTBE		%	
SDB Verification Number:	DOBE SDVBE			
Name:	MBE			
SAP Vendor Number:	WBE LGBTBE		%	
SDB Verification Number:	DOBE SDVBE			
Name:	MBE WBE			
SAP Vendor Number:	LGBTBE		%	
SDB Verification Number:	DOBE SDVBE			
Name:	MBE			
SAP Vendor Number:	WBE LGBTBE		%	
SDB Verification Number:	DOBE SDVBE		,	
Attach additional sheets if necessary			Total % SDB	Total \$ amount:
	1		commitment: 0	\$ 0

Letter of Commitment SDB-3.1



SDB-3.1 LETTER OF COMMITMENT

This Letter of Commitment serves as confirmation of the commitment by the prime Bidder or Offeror
to utilize the Small Diverse Business (SDB) on the below-referenced Solicitation/Project.

Solicitation Name.	
Bidder/Offeror Information	SDB Information

<u>Services/Supplies and Time Frame</u>. If Bidder/Offeror is the successful vendor, the SDB shall perform or provide the following services or supplies during the term of the prime contract, as more specifically set forth below:

Services or supplies the SDB will provide:	
Specific Time Frame the SDB will provide the services or supplies:	

<u>Dollar Value of Commitment.</u> These service or supplies for contract/fee indicated in the table below shall be for the term of the contract.

Total Contract/Fee Commitment Value (c)	Allowable Participation Percentage (d)	Value equals Dollar Value Commitment on SDB-3 (c) x (d) = (e)
	100%	
	60%	
	Commitment Value	Total Contract/Fee Commitment Value Percentage (c) (d) 100%

SDB verified*. By signing below, the SDB represents that it meets the SDB requirements set forth in the Solicitation and all required documentation has been provided to the Bidder/Offeror for its SDB submission.

Sincerely,	Acknowledged
Bidder/Offeror Point of Contact Printed name	SDB Point of Contact Printed name
Bidder/Offeror Point of Contact Signature	SDB Point of Contact Signature

Revised: January 26, 2024

Construction_RFP_IFB_SDB_Participation

SDB-3-1

SDB to expect a letter and SIGN it!



^{*} The work performed by a firm that is dually verified as both an SDB and VBE will be counted by BDISBO towards fulfilling both the SDB and VBE commitments unless otherwise agreed to by the parties in writing and approved by BDISBO and the Issuing Office. See SDB-1 Instructions, Section II, Paragraph 4 for example of how work performed by a firm that is dually verified is credited.

Guidance to Document GFE SDB-4

READ, READ, READ

- The ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the Offeror of the responsibility to make Good Faith Efforts to meet the stated participation goal.
- Prime must complete all components of the GFE paperwork. Details/Evidence are important, proof is required.
- Carefully review SDB and VBE submittal Instructions, specifically Section VI of SDB/VBE-1 which lists pertinent items as Fatal errors.



Good Faith Efforts Packet SDB-5

Good Faith Efforts (GFE) Partial or Full Waiver

- ☐ Identified Items of Work Applicant Made Available to SDBs (Part 1)
- ☐ Identified SDBs and Record of Solicitations (Part 2)
- SDB Outreach Compliance Statement (Part 3)
- □ Additional Information Regarding Rejected SDB Quotes (Part 4)
- □ SDB Subcontractor Unavailability Certificate (Part 5)



SDB GFE Documentation – SDB-5

SDB-5 GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER RI

Complete all five parts

Project Description:	
Commonwealth Agency Name:	
Solicitation #:	
Solicitation Due Date and Time:	
Bidder/Offeror Company Name:	
Bidder/Offeror Contact Name:	
Bidder/Offeror Contact Email:	
Bidder/Offeror Contact Phone Number:	

Part 1 - Identified Items of Work Offeror Made Available to SDBs

Identify those items of contract work that the Offeror made available to SDBs. This includes, where appropriate, those items the Offeror identified and subdivided into economically feasible units to facilitate the SDB participation. For each item listed, show the anticipated percentage of the total contract cost. Offeror must demonstrate that enough work to meet the SDB participation goal was made available to SDBs, and the total percentage of the items of work identified for SDB participation met or exceeded the SDB participation goal set for the procurement.

Identified Items of Work	Was this work listed in the solicitation?	Does Offeror normally self- perform this work?	Was this work made available to SDB Firms? If not, explain why.			
	yes no	yes no	yes no			
	yes no	yes no	yes no			
	yes no	yes no	yes no			
	yes no	yes no	yes no			
	yes no	yes no	yes no			

Attach additional sheets if necessary.

Revised: January 26, 2024 Construction_RFP_IFB_SDB_Participation SDB-5-1



Identified Items of Work Offeror Made Available to SDBs

Identify those items work that the Offeror made available to SDBs. This includes, where appropriate, those items the Offeror identified and subdivided to subdivided the SDB participation. For each item listed, show the anticipated percentage of the total contract contract

List all confered of work of tracting.	Was this work listed in the solicitation?	Does Offeror normally self- perform this work?	Was this work made available to SDB Firms? If not, explain why.
or subco	yes no	yes no	yes no
	yes no	yes no	yes no
	yes no	yes no	yes no
	yes no	yes no	yes no
	yes no	yes no	yes no

Attach additional sheets if necessary.



Identified SDBs and Record of Solicitations

CRITICAL

Specifics and Details

are important

No
liste
SDE

GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL

Part 2 - Identified SDBs and Record of Solicitations

entify the SDBs solicited to provide quotes for the Identified Items of Work made available for SDB participation. Include the name of the SDB cicited, items of work for which quotes were solicited, date and manner of initial and follow-up solicitations, whether the SDB provided a quote, and whether the SDB is being used toward meeting the SDB participation goal. SDBs used to meet the SDB participation goal must be listed on the SDB Utilization Schedule (SDB-2).

Note: Copies of all written solicitations and documentation of follow-up calls to SDBs must be attached to this form. For each Identified SDB listed below, Offeror should submit an SDB Subcontractor Unavailability Certificate signed by the SDB or a statement from the Offeror that the SDB refused to sign the SDB Subcontractor Unavailability Certificate.

Name of Identified SDB and Classification	Describe Item of Work Solicited	Initial Solicitation Date & Method	Follow-up Solicitation Date & Method	Details for Follow-up Calls	Quote Received?	Quote Used?	Reason Quote Rejected
MBE WBE LGBTBE DOBE SDVBE		Date:mailemailfax	Date: mail email fax	Date and Time of Call: Spoke with: Left Message:	yes no	yes no	Used other SDB Used non-SDB Self performing
MBE WBE LGBTBE DOBE SDVBE		mail email fax	mail email fax	Date and Time of Call: Spoke with: Left Message:	yes no	yes no	Used other SDB Used non-SDB Self performing

Attach additional sheets as necessary.

Revised: January 26, 2024 Construction_RFP_IFB_SDB_Participation SDB-5-2



SDB Outreach Compliance Statement

CRITICAL

Documentation for Part 1

SDB-5
GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF
SDB PARTICIPATION GOAL

Part 3 - SDB Outreach Compliance Statement

	ntified Items of Work for subcontracting opportunities for the solicitation along c work categories:
	is form copies of written solicitations (with Bid or Proposal instructions) used to ified SDBs for these subcontract opportunities.
3. Offeror ma	de the following attempts to contact the Identified SDBs:
4. Bonding Re	quirements (Please Check One):
	This project does not involve bonding requirements.
	Offeror assisted Identified SDBs to fulfill or seek waiver of bonding requirements. (DESCRIBE EFFORTS):
5. Pre-Bid/Pro	oposal Conference or Supplier Forum (Please Check One):
	Offeror did attend the pre-Bid/Proposal conference or Supplier Forum
	No pre-Bid/Proposal conference or Supplier Forum was held
	Offeror did not attend the pre-Bid/Proposal conference or Supplier Forum

Revised: January 26, 202

 $Construction_RFP_IFB_SDB_Participation$

SDB-5-3



Additional Information Regarding Rejected SDB Quotes



SDB-5 GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF SDB PARTICIPATION GOAL

Part 4 - Additional Information Regarding Rejected SDB Quotes

This form must be completed if Part 2 indicates that an SDB quote was rejected because the Offeror is using a non-SDB or is self-performing the Identified Items of Work. List the Identified Items of Work, state whether the work will be self-performed or performed by a non-SDB, and if applicable, state the name of the non-SDB firm. Also include the names of all SDBs and non-SDB firms that provided a quote and the amount of each quote.

Describe Identified Items of Work not being performed by SDBs (include specific section from bid or proposal)	Self-performing or using non-SDB (provide name of non- SDB if applicable)	Amount of non-SDB quote \$	Name of other firms that provided quotes and whether they are SDB	Amount quoted \$	Reason why SDB quote was rejected along with brief explanation		
	self-performing using Non-SDB Name:		SDB Non-SDB Name:		price capabilities other		
	self-performing using Non-SDB Name:		SDB Non-SDB Name:		price capabilities other		
	self-performing using Non-SDB Name:		SDB Non-SDB Name:		price capabilities other		
	self-performing using Non-SDB Name:		SDB Non-SDB Name:		price capabilities other		
	self-performing using Non-SDB Name:		SDB Non-SDB Name:		price capabilities other		

Attach additional sheets as necessary.

Revised: January 26, 2024 Construction_RFP_IFB_SDB_Participation

SDB-5-4

SDB Subcontractor Unavailability Certificate

GOOD FAITH EFFORTS DOCUMENTATION TO SUPPORT WAIVER REQUEST OF

CRITICAL

Required for each

vendor listed in Part 1

SDB PARTICIPATION GOAL Part 5 - SDB Subcontractor Unavailability Certificate 1. It is hereby certified that the firm of (Name of SDB) (Number) was offered an opportunity to bid on Solicitation No (Name of Bidder/Offeror's Firm) (SDB), is either unavailable for the work/service or unable to prepare a Proposal for this project for the following reason(s): (Signature of SDB's Representative) (Date) (DGS SDB Certification #) If the SDB does not complete this form, the bidder/offeror must complete the following: To the best of my knowledge and belief, the above-listed SDB is either unavailable for the work/service for this project, is unable to prepare a Proposal, or did not respond to a request for a price Proposal and has not completed the above portion of this submittal (Signature of Bidder/Offeror) (Title) (Date)



Revised: January 26, 2024

Construction_RFP_IFB_SDB_Participation

SDB-5-5

How to Meet the Goal

3 Scenarios

- Self-Perform as a DGS-verified SDB and/or VBE Prime vendor
- 2. Find Separate DGS-verified SDB and VBE vendors
- 3. Use a DGS Dually-verified SDB/VBE vendor

Submittal Forms

- 1. Complete SDB-2&3/VBE-2&3 by putting your company name as vendor with appropriate percentage commitment to fulfill each goal amount.
- 2. Complete SDB-2&3/VBE-2&3 by noting SDB vendor on SDB submittal and VBE vendor on VBE submittal with appropriate percentage commitment to fulfill each goal amount.
- 3. Complete SDB-2&3 /VBE-2&3 by noting same vendor on both SDB-2&3 and VBE-2&3 with highest percentage value commitment on BOTH forms.



DGS Dually Verified SDB/VBE

SDB-3 SDB UTILIZATION SCHEDULE

Sample Scenario - 10% SDB Goal; \$1,000.00 total project cost

List in the chart below SDBs (including where applicable a prime bidder or offeror is self-performing a portion of the work) that will be used to meet the SDB participation goal (add additional pages if necessary). Submit a Letter of Commitment (SDB-3-1) for each SDB subcontractor (add additional Letters of Commitment as necessary).

SDB Name SAP Vendor Number (6-digit number provided by SDB) SDB Verification Number (located on DGS SDB verification)	Type of SDB (check all that apply)	Description of Work to be Performed (Statement of Work/Specification reference)	% Commitment (or % of work to be self-performed by SDB bidder/offeror)	Associated Dollar Value of Commitment
Name: ABC IT Solutions SAP Vendor Number: 123456 SDB Verification Number: 123456-2016-09-SB-M	MBE	IT staffing resources	%	s
NameName of Dually Verified Sub-Contractor SAP Vendor Number: 6 Digit Number SDB Verification Number: Obtain from SDB	MBE WBE LGBTBE DOBE SDVBE		6 %	\$60.00
Name:DGS-verified SDB Sub-Contractor SAP Vendor Number: 6 Digit Number SDB Verification Number: Obtain from SDB	MBE WBE LGBTBE DOBE SDVBE		4 %	\$40.00
Name: SAP Vendor Number: SDB Verification Number:	MBE WBE LGBTBE DOBE SDVBE		%	
Name: SAP Vendor Number: SDB Verification Number:	MBE WBE LGBTBE DOBE SDVBE		%	
Attach additional sheets if necessary			Total % SDB %	Total \$ amount: 00

VBE-3 VBE UTILIZATION SCHEDULE

Sample Scenario - 3% VBE Goal; \$1,000.00 total project cost

List in the chart below VBEs (including where applicable a prime bidder or offeror is self-performing a portion of the work) that will be used to meet the VBE participation goal (add additional pages if necessary). Submit a **Letter of Commitment (VBE-3-1)** for each VBE subcontractor (add additional Letters of Commitment as necessary).

VBE Name SAP Vendor Number (6-digit number provided by VBE) VBE Verification Number (located on DGS VBE verification)	Type of VBE (check all that apply)	Description of Work to be Performed (Statement of Work/Specification reference)	% Commitment (or % of work to be self-performed by VBE bidder/offeror)		Associated Dollar Value of Commitment
Name: ABC IT Solutions SAP Vendor Number: 123456 VBE Verification Number: 123456-2016-09-SB-M	MBE	IT staffing resources	%		\$
Nam@GS Dually-verified Sub-Contractor SAP Vendor Number: 6 Digit Number VBE Verification Number: Obtain from VBE	VBE SDVBE		6	%	\$60.00
Name: SAP Vendor Number: VBE Verification Number:	VBE SDVBE	This credit may exceed Participation Goal Perc		%	
Name: SAP Vendor Number: VBE Verification Number:	VBE SDVBE			%	
Name: SAP Vendor Number: VBE Verification Number:	VBE SDVBE			%	
Attach additional sheets if necessary			Total % VBE commitment:	%	Total Samount:

Sample Scenario: Solicitation Participation Goals

SDB = 10% VBE= 3%

Estimated contract value = \$1,000.00



Dually-Verified Vendor Instructions

If a DGS-verified SDB is dually verified as a VBE, the firm will receive credit towards both the SDB participation goal and the VBE participation goal as set forth on the SDB and VBE Participation Summary Sheet. This credit may exceed the participation goal percentage, as shown in the example below.

Example: The SDB participation goal is 10% and the VBE participation goal is 5%. A subcontractor is DGS-verified as both an SDB and a VBE and will perform 10% of the contract work. The prime contractor can satisfy both the SDB participation goal and the VBE participation goal through that dually verified subcontractor's performance of 10% of the contract work, unless otherwise agreed to by the parties in writing and approved by BDISBO and the Issuing Office.

Based on this example, the percentage commitment indicated on SDB-3 for SDB work on this contract would be 10%, and the VBE percentage commitment indicated on VBE-3 on this contract would be 10% as well. These contractual commitments meet the SDB participation goal of 10% and **exceed** the 5% VBE participation goal for this contract. Please note that the dually verified SDB/VBE firm only receives 10% of the contract work based on the example above.

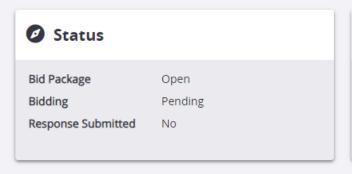
Newly Revised

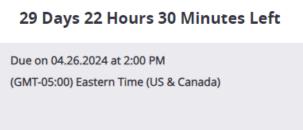


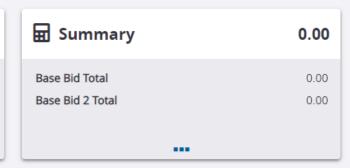
SDB/VBE Response Submittal

Pennsylvania Department of General Services / Capitol Complex - Automation System Upgrades

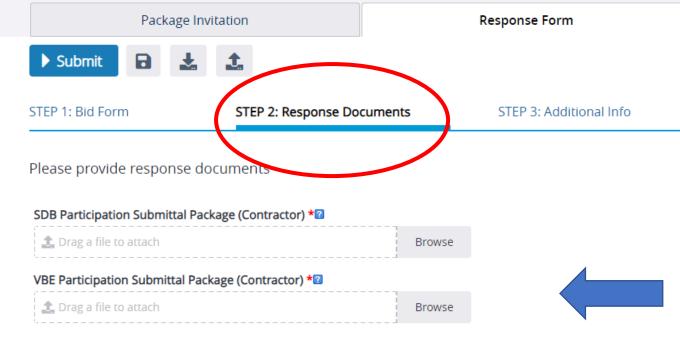
→ DGS C-0948-0098 P1.2







O&A Board





Best Practices

Do's

- Read the solicitation and all instructions completely.
- Submit SEPARATE SDB and VBE submittal forms.
- Validate subcontractor SDB/VBE status in DGS Supplier Database.
- Ensure that all appropriate forms are completed and signed correctly.
- Submit questions early per the solicitation requirements.

Don'ts

- Make any assumptions.
- Copy SDB submittal paperwork. Download and complete the VBE submittal separately, titles and accuracy matter.
- Skip any portion of the GFE request documentation.
- No SDB/VBE submittal packets due for zero goal bids/discipline.



REMINDER



REMINDER



Questions?





BDISBO Contact Info

Bureau of Diversity, Inclusion and Small Business Opportunities

North Office Building 401 North Street, Room 611 Harrisburg, PA 17120-0500 717.783.3119

GS-BDISBO@pa.gov

